



CORPORATE & CAPITAL MARKETS PARTNER

ABHISHEK PALIWAL

King Stubb & Kasiva, Advocates & Attorneys - New Delhi

CONTACT

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KEY FACTS

12+ YEARS EXPERIENCE

9+ REPRESENTATIVE CLIENTS

EDUCATION

Associate Member of Institute of Company Secretaries of India (ICSI), with M. No. A39500 and L.LB.

CERTIFICATIONS & MEMBERSHIPS

- SEBI NISM ODR Certified Professional
- SEBI NISM Investor Relations Certified Professional
- FICCI Certified Grade A Professional
- Past Member, Brand Building Committee, ICSI

REPRESENTATIVE CLIENTS

- Uber
- Lockheed Martin
- Logitech
- Ultratech Cement
- Munjal Kiriu
- Orris Infrastructure
- Jakson Group
- Vatika Group
- BPC Holdings

OVERVIEW

Profile

Abhishek is a distinguished corporate legal professional with over 12 years of leadership experience specialising in capital markets, SEBI regulations, the Companies Act, FEMA advisory and corporate governance. He has a proven track record of navigating complex regulatory landscapes for listed companies, startups, capital market intermediaries and Tier-1 law firms.

He began his career with the Indian multinational conglomerate DCM Shriram Limited, New Delhi. He subsequently spent more than half a decade as an independent professional, guiding corporates, startups and public entities on corporate legal matters including restructuring and listings, before taking up the role of Group Company Secretary & Head of Department at Vatika Limited, Gurgaon.

Abhishek has also served as Practice Head at law firms, bringing exceptional expertise in high-stakes financial operations and regulatory compliance, and has served on the Brand Building Committee of the ICSI.

CAPABILITIES

Practice Focus

Corporate Secretarial

Companies Act, SEBI LODR, NBFCs, SEBI ICDR, FEMA compliances.

Corporate Governance Consulting

Code of conduct, independent directors, conflict-of-interest checks.

Securities Markets Litigation

Representations before SEBI Board Members, AO/CGM and SAT.

Capital Markets

IPO - Debt & Equity, setting up of MII, ESPS / ESOP advisory.

Setting Up in India & GIFT City IFSC

Entity structuring and regulatory set-up advisory.

EXPERIENCE

Representative Matters & Reported Cases

Matter	Forum
Mars Incorporated v. Pedigree Seed Genetics	Regional Director, MCA
The Boeing Company v. Airboeing Vinayak Syndicate Pvt. Ltd.	Regional Director, MCA

Alticor Inc. (Holding Co. of Amway Products)	-
BPC Holdings India Pvt. Ltd.	Regional Director, MCA
Ultratech Cement Limited	Regional Director, MCA
Amit Guruh Sachdeva, Research Analyst	SEBI Quasi-Judicial Body

TRACKRECORD

Key Work Highlights

- **Led an IPO process** worth ₹2,500 Cr, ensuring 100% SEBI compliance with zero regulatory queries.
- **Streamlined the insider-trading reporting process** across three listed entities, reducing errors by 40%.
- **Handled 20+ M&A transactions** including listed-company amalgamations - supporting valuation, due diligence and SEBI approvals.

STRENGTHS

Awards, Recognition & Appointments

- **Past Member, Brand Building Committee** - ICSI.
- **SEBI-certified Online Dispute Resolution professional** (NISM ODR).
- **SEBI-certified Investor Relations professional** (NISM Investor Relations).
- **FICCI Certified Grade A** professional.